



Iowa Health Care Association
Iowa Center for Assisted Living
Iowa Center for Home Care

RCF Survey Committee Report RCF Regulatory Insufficiencies 3rd Quarter 2019

(Includes April, May and June 2019 data)

Total Surveys Reviewed: 9

Insufficiency Free Surveys: 3

Total Insufficiencies Cited: 10

Average Number of Insufficiencies Cited per Facility: 1.6

Total Fines: \$0

of Recertification surveys: 2 (0 insufficiency free)

of Complaints/Incident Investigation surveys: 7 (3 insufficiency free)

57.11(7) Personnel

- Failure to ensure medication orders were correctly implemented for multiple residents. Medications were given but not documented. **(R 373)**
- Resident was hospitalized for diarrhea and dehydration and Immodium was signed by the physician to be given on prn. Medication was never added to MAR for resident. **(R 373)**

57.12 General Policies

- Failure to ensure there was a policy regarding health and safety that included comprehensive information regarding non-emergency situations. A resident had a change in condition that was noticed by staff, but no vitals taken or contact to the on-call staff and the resident was found deceased the next morning. **(R 398)**

57.13(1)a Admission, Transfer, Discharge

- Level of cares were not ordered for multiple residents prior to admission. **(R 456)**

57.17 Records

- **(1)o** Failure to complete a notation of condition upon discharge for several residents. **(R 616)**
- **(3)b** Incident report forms were missing detail. Only contained vital signs and the time the resident and family were notified. **(R 636)**

57.22(3) Orientation and Service Plan

- Failure to ensure service plan completed within 30-days of admission for multiple residents. **(R 828)**
- **b Failure** to ensure changes in a resident's meal plan were documented. **(R 832)**
- **d Failure** to ensure quarterly service plans were complete for a resident. **(R 836)**

57.36(2) Maintenance

- Failure to maintain the building in good repair. Ceiling was damaged, cracked tiles in the floor, drywall marred and scraped. **(R 1058)**